

ADDITIONAL CONDITIONS FOR DIET ODIN TO CLIENTS

1. The ASSOCIATE acknowledges that the MEMBER has allotted _____ trading terminal under user Code No. _____ for executing the trades on the account of the registered CLIENT of the ASSOCIATE. The said terminal shall be installed at the aforementioned premises of the CLIENT. The ASSOCIATE undertakes and agrees that it has taken all the necessary steps and precautions to ensure that the said terminal shall be operated by the CLIENT himself in single client code that the ASSOCIATE accepts complete and unconditional responsibility of all the trades executed through the said terminal and for the timely settlement obligation of all such trades. The ASSOCIATE also accepts and agrees that records produced by the MEMBER of the trades and transactions executed from the said terminal installed at the aforementioned premises shall not be disputed or contested by the ASSOCIATE and that the same shall be accepted with complete responsibility.
2. The MEMBER and ASSOCIATE have understood and agreed to abide by the commercial terms and conditions governing this agreement as are set out in the SCHEDULE annexed hereto. The MEMBER reserves the right to amend or revise the commercial terms and condition from time to time which amendments shall be effective upon MEMBER giving the ASSOCIATE not exceeding seven (7) prior days notice in writing.
3. **Order entry:** The ASSOCIATE shall ensure that the client, while placing the orders on the terminal do not use the '**remark column**' without proper explanation or to put codes which could suggest later the existence of one or more ultimate clients. Also the ASSOCIATE shall ensure that the person operating the terminal use single client code in respect of the orders and do not combine orders of different persons.
4. **Trade Confirmation:** The MEMBER shall send the trade confirmation directly to the CLIENT, in form of contact note or otherwise via mail, e-mail, fax, courier, registered AD, oral communication or otherwise at the postal address, telephone/fax nos., e-mail addresses intimated by the CLIENT to the MEMBER. The client's email address for communication is _____
5. **Payments:** All payments for securities bought by the client shall be made in the name of "RR Equity Brokers P Ltd" by way of account payee cheque/ draft only.
6. **Margins:** CLIENT shall pay appropriate margin before executing the trade. The MEMBER has the right to impose higher or lower margin if the MEMBER considers it prudent to do so. Upon intimation of such a requirement for higher margins, the CLIENT/ASSOCIATE shall forthwith bring in the required additional margins through cash and/ or approved securities. The MEMBER may also insist on the additional margin being brought in only by way of cash.

7. The MEMBER shall not be liable for any losses that may be incurred by the client on account of his inability to square up positions or effect trades on a timely basis in the event of any of the following:

Inability to execute trades arising out of breakdown in connectivity between the CLIENT'S and the MEMBER'S office or between the MEMBER'S office and the exchange

Inability to execute trades due to force majeure circumstances of the MEMBER

Inability to execute transaction due to any restrictive action taken by the MEMBER on the CLIENT warranted by the formers risk management strategy

8. NO TRADING SHALL BE PERMITTED FOR CLIENTS UNLESS MARGINS ARE CREDITED IN MEMBER'S BANK ACCOUNT BEFORE THE TRADE.
9. **Trading Terminal:** - The MEMBER will provide the registered CLIENT with software for entering trades on the NSE Cash/FNO/BSE/Curr Fut. The ASSOCIATE/ CLIENT have to arrange for the necessary hardware and connectivity to install the package and make it operational.
10. The monthly connectivity cost per DIET ODIN Terminal is Rs. 300.00 per market per month, and the MINIMUM BROKERAGE for each client should be Rs. 3000.00 (Rupees Three Thousand Only) per market per month. Either of the above two conditions have to be fulfilled every month failing which it will be deducted from the Associate's/Client's account.

Client's Name and Signature

Branch Head's Signature

RR Equity Brokers P Ltd